SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Repor	ting Person [*]	2. Issuer Name and Ticker or Trading Symbol ExlService Holdings, Inc. [EXLS]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
<u>Kapoor Rohit</u>			X	Director	10% Owner				
(Last) (First) (Middle) 320 PARK AVENUE		3. Date of Earliest Transaction (Month/Day/Year) 03/11/2021	X	Officer (give title below) CEO & Vice Ch	Other (specify below) airman				
(Street)		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	ridual or Joint/Group Filing	g (Check Applicable				
NEW YORK NY	10022		X	Form filed by One Rep	orting Person				
(City) (State)	(Zip)			Form filed by More that Person	n One Reporting				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock, par value \$0.001 per share	03/11/2021		S ⁽¹⁾		13,353	D	\$89.96(2)	220,876	D	
Common Stock, par value \$0.001 per share	03/11/2021		S ⁽³⁾		8,201	D	\$89.97(4)	172,534	Ι	See Footnote ⁽⁵⁾
Common Stock, par value \$0.001 per share								40,219	I	See Footnote ⁽⁶⁾
Common Stock, par value \$0.001 per share								177,134	I	See Footnote ⁽⁷⁾
Common Stock, par value \$0.001 per share								84,000	I	See Footnote ⁽⁸⁾
Common Stock, par value \$0.001 per share								84,000	I	See Footnote ⁽⁹⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Amount of			9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. This sale was made pursuant to a 10b5-1 plan previously entered into by the reporting person.

2. This transaction was executed in multiple trades at prices ranging from \$89.95 to \$90.06 per share. The price reported above reflects the weighted average purchase price on the date indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares.

3. This sale was made pursuant to a 10b5-1 plan previously entered into by the Rohit Kapoor 2016 Family Trust.

4. This transaction was executed in multiple trades at prices ranging from \$89.95 to \$90.02 per share. The price reported above reflects the weighted average purchase price on the date indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares.

5. Owned by the Rohit Kapoor 2016 Family Trust.

7. Owned by the 2016 Kapoor Family Trust Created Under the Rohit Kapoor 2005 GRAT.

8. Owned by the Rohit Kapoor Spousal Lifetime Access Trust.

9. Owned by the Shikha Kapoor 2005 Family Trust.

Remarks:

Mr. Oseni is the Company's Head of Administration and Accounts.

<u>/s/ Lazbart Oseni, Attorney-in-</u> <u>03/15/2021</u> Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{6.} Owned by the 2016 Kapoor Family Trust Created Under the Rohit Kapoor 2013 GRAT.