SEC Form 4
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(City)

share

share

share

share

share

share

## FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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	ns may continue. See on 1(b).	)	Filed pursuant to Section 16(a) of the Securities Exchange Ac	ed pursuant to Section 16(a) of the Securities Exchange Act of 1934					
			or Section 30(h) of the Investment Company Act of 194						
	Address of Reportin	g Person <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>ExlService Holdings, Inc.</u> [EXLS]		. Relationship of Reporting Person(s) to Iss Check all applicable)				
<u>Kapoor</u>	Ronit		<u></u>	X	Director	10% Owner			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		Officer (give title below)	Other (specify below)			
320 PARE	<b>AVENUE</b>	、 <i>,</i>	03/10/2021		CEO & Vice Chairman				
-									

(Street)		
NEW YORK	NY	10

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

#### 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) 022 Х Form filed by One Reporting Person Form filed by More than One Reporting Person (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed Execution Date, 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 6. Ownership Form: Direct 1. Title of Security (Instr. 3) 5. Amount of 7. Nature of Securities Transaction Indirect if any (Month/Day/Year) (Month/Day/Year) Beneficially (D) or Indirect (I) (Instr. 4) Beneficial Code (Instr. 8) Owned Following Ownership (Instr. 4) Reported (A) or (D) Transaction(s) (Instr. 3 and 4) Code v Amount Price Common Stock, par value \$0.001 per **S**<sup>(1)</sup> 03/10/2021 \$89.96(2) 234 229 579 D D Common Stock, par value \$0.001 per See s<sup>(3)</sup> 03/10/2021 726 D \$89.96(4) 180,735 T Footnote<sup>(5)</sup> Common Stock, par value \$0.001 per See 40.219 T Footnote<sup>(6)</sup> Common Stock, par value \$0.001 per See 177,134 T Footnote<sup>(7)</sup> Common Stock, par value \$0.001 per See 84,000 T Footnote<sup>(8)</sup> Common Stock, par value \$0.001 per See 84,000 I Footnote<sup>(9)</sup>

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		I 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

#### Explanation of Responses:

1. This sale was made pursuant to a 10b5-1 plan previously entered into by the reporting person.

2. This transaction was executed in multiple trades at prices ranging from \$89.95 to \$89.99 per share. The price reported above reflects the weighted average purchase price on the date indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares

3. This sale was made pursuant to a 10b5-1 plan previously entered into by the Rohit Kapoor 2016 Family Trust.

4. This transaction was executed in multiple trades at prices ranging from \$89.95 to \$89.98 per share. The price reported above reflects the weighted average purchase price on the date indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of share

5. Owned by the Rohit Kapoor 2016 Family Trust.

6. Owned by the 2016 Kapoor Family Trust Created Under the Rohit Kapoor 2013 GRAT.

7. Owned by the 2016 Kapoor Family Trust Created Under the Rohit Kapoor 2005 GRAT.

8. Owned by the Rohit Kapoor Spousal Lifetime Access Trust.

9. Owned by the Shikha Kapoor 2005 Family Trust.

Remarks:

Mr. Oseni is the Company's Head of Administration and Accounts.

# /s/ Lazbart Oseni, Attorney-in-03/12/2021 Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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